

上海浦東發展銀行香港分行

私人銀行業務收費表

版本 5.0 生效日期：2024 年 12 月 30 日

| 項目 | 收費 |
|--------------------|---|
| 港股證券交易服務 | 按每項指示之成交金額計算 |
| 港股經紀佣金 | 0.25% 最低：港幣100元 |
| 印花稅 | 0.1% (印花稅金額不足港幣1元亦作港幣1元計) |
| 交易徵費(由證監會收取) | 0.0027% |
| 聯交所交易費(由香港交易所收取) | 0.005% |
| 香港結算公司結算費 | 0.002% 最低：港幣 2 元 最高：港幣 100 元 |
| 股票處理及交收有關服務 | |
| 股票存入費 - 實物股票 | 轉手紙厘印費, 每張轉手紙港幣 5 元 |
| 提取證券費用 - 實物股票 | 每手港幣 5 · 最低收費港幣 60 元 |
| 股票經中央結算轉入 | 豁免 |
| 股票經中央結算轉出 | 中央結算費 0.002% 最低收費港幣 2元, 最高收費港幣 100元 手續費, 每只股票港幣 70 元 |
| 股票經中央結算投資者戶口轉入 | 豁免 |
| 股票經中央結算投資者戶口轉出 | 每一指示港幣 1 元 |
| 代理人服務及企業行動 | |

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| 代收股息 / 以股代息 | 服務費, 總現金股息的 0.12%, 每只股票最高收費港幣 10,000元 股票過戶費(中央結算所), 每手及每張港幣 1.5元 手續費, 總現金股息的 0.5%, 最低收費港幣 20 元, 最高收費港幣 200 元 |
| 代收紅股 | 手續費, 每只股票港幣 20元 股票過戶費(中央結算所), 每手及每張港幣 1.5元 |
| 代履行權責 | 服務費, 每手港幣 0.8元, 最高收費港幣 10,000元 手續費, 每單港幣 100 元 |
| 現金收購 | 手續費, 每單港幣 100 元 |
| 代追收股息 | 中央結算所費, 每追一期息港幣 200元 手續費, 每追一期息港幣 200 元 |
| 股票轉名註冊費用 | 提貨轉名註冊費, 每手及每張港幣 2.5元 政府印花稅, 每張轉手紙港幣 5元 手續費, 每單港幣 100 元 |

| 滬股通 | 按每項指示之成交金額計算 |
|------------|---|
| 滬股通經紀佣金 | 0.25% 最低：人民幣160元 |
| 經手費 | 成交金額 0.00487% |
| 證管費 | 成交金額 0.002% |
| 過戶費 | 成交金額的 0.002% · 根據中國結算的滬港通規則支付; 及 成交金額的 0.002% · 根據中央結算系統一般規則支付 · 作為香港結算提供滬股結算 |

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| | 服務之收費 |
| 交易印花稅 | 只收賣方成交金額 0.1% |
| 交收服務費用 | |
| 股票經中央結算轉入 (SI) | 豁免 |
| 股票經中央結算轉出 (SI) | 0.002% 最低收費人民幣 2 元, 最高收費人民幣 100 元 手續費, 每只股票人民幣 70 元 |

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| 深股通 | 按每項指示之成交金額計算 |
| 深股通經紀佣金 | 0.25% 最低：人民幣 160 元 |
| 經手費 | 成交金額 0.00487% |
| 證管費 | 成交金額 0.002% |
| 過戶費 | 成交金額的 0.002% · 根據中國結算的深港通規則支付; 及 成交金額的 0.002% · 根據中央結算系統一般規則支付 · 作為香港結算提供深股結算服務之收費 |
| 交易印花稅 | 只收賣方成交金額 0.1% |
| 交收服務費用 | |
| 股票經中央結算轉入 (SI) | 豁免 |
| 股票經中央結算轉出 (SI) | 0.002% 最低收費人民幣 2 元, 最高收費人民幣 100 元 手續費, 每只股票人民幣 70 元 |

| 美國證券交易服務 | 按每項指示之成交金額計算 |
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| 美股經紀佣金 | 0.5% 最低：美元\$30 |
| 證券費 (只限於銷售交易) | 成交金額之 0.00218%，最低收費美元\$0.01 |
| FINRA 交易徵費 (只限於銷售交易) | 每股 0.0119%，最低收費美元\$0.01，最高收費美元\$5.95 |
| Envelope Settlement Service (ESS) 收費 | 每個交易日每隻股票的每邊交易收取美元\$100 (適用於美股名單： http://www.viewtrade.com/ess) |
| 美國預託憑證 (美國存託憑證) 託管費用# | 每股美元\$0.01-\$0.05 (每次截止過戶日收取) #交易美國預託證券(ADR)需支付 ADR 托管費用，是 ADR 監護代理商向 ADR 持有人所收取的費用。此收費不會在交易時收取，但會在 ADR 監護代理商指定日子扣除，不限當時賬戶是否仍持有這股票。 |
| 其他收費 | 每股每宗交易美元\$0.0002 只適用於《場外柜台交易系統 OTCBB》、《美國粉紅單市場 Pink Sheet》及《BATS 交易所》之交易並買入或沽出多於 100,000 股細價股股票 (細價股指股票價格低於美元\$1 之股票) |
| 處理實物股票、交收服務及企業行動 | |
| 存入實物股票費 | 不提供實物股票提存服務 |
| 提取實物股票費 | |
| 交收指示費 (轉倉撥出) | 每隻股票美元\$100 Envelope Settlement Service (ESS) 收費：每隻股票美元\$100 (適用於美股名單： http://www.viewtrade.com/ess) |

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| 交收指示費 (轉倉存入) | 豁免 Envelope Settlement Service (ESS) 收費：每隻股票美元\$100 (適用於美股名單： http://www.viewtrade.com/ess) |
| 代履行權責(手續費) | 每單美元\$10 |
| 現金收購(手續費) | 每單美元\$10 |
| 股息稅 | 總現金股息的 30% |
| 收取股息手續費 | 按股息金額之 1.25%，最低收費美元\$5 |
| 紅股服務費 | 每單美元\$10 |

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| 其他戶口服務 | |
| 託管費 | 每季按投資組合季度末市場價值之 0.025% 每季收取 |
| 代申請索還未領取權益費 | 每份申請港幣300元，另加香港結算所收取之費用 |
| 重印日結單 / 月結單 | 每份港幣 50 元 |
| 新股認購服務 | |
| 申請手續費 | 每筆申請港幣 100 元/人民幣 100 元* |
| 經紀佣金 | 申請金額之 1% |
| 交易徵費(由證監會收取) | 申請金額之 0.0027% |
| 聯交所交易費(由香港交易所收取) | 申請金額之 0.005% |
| | *費用以計價貨幣收取 |

| 基金投資服務 | |
|----------------------------|--|
| 認購費 / 轉換費 / 贖回費 / 管理費 / 其他 | 不高於成交金額之 2% |
| 轉入手續費 | 豁免 |
| 轉出手續費 | 按每隻基金市值之 0.1% 收取 (最低港幣 200 元) |
| 債券 | |
| 買賣交易費 | 不高於成交金額之 2% |
| 轉入手續費 | 豁免 |
| 轉出手續費 | 按每隻債券市值之 0.1% 收取 (最低港幣 200 元) |
| 結構性產品 | |
| 交易費 | 年期於一年內之產品 - 不高於成交金額 2% 收費 年期高於一年之產品 - 不高於成交金額 2% 收費 |
| 轉入手續費 | 豁免 |
| 轉出手續費 | 本行保留權利收取費用或手續費 |
| 提早贖回費用 | 每份申請港幣 200 元 |
| 定期存款 | |
| 到期前提取 | <p>若本行酌情允許客戶在到期前提取有關定期存款，客戶將不獲得任何利息，並須按照下列計算方式繳付手續費(取其高者)，最低手續費為港幣 200 元:</p> <ol style="list-style-type: none"> 定期存款本金 x (最優惠利率*+1% - 定期存款年利率) x 尚餘到期日數 / 一年總日數 定期存款本金 x (同業拆息拆出利率*+1% - 定期存款年利率) x 尚餘到期日數 / 一年總日數 <p>*有關利率將按本行不時公佈的利率為準。</p> |

| 私人銀行戶口收費 | |
|-----------------------------------|---------------------|
| 一般客戶 | |
| 每月平均在本行金融資產低於 HKD7,800,000 | 每年度港幣 8,000 元(首年豁免) |
| 每月平均在本行金融資產等於或高 於 HKD7,800,000 | 豁免 |
| 資本投資者入境計劃 | |
| 每年賬戶管理費 | 每年港幣 10,000 元 |

* 如本業務收費表的中文文本與英文版本相矛盾，則以中文文本為準。

Shanghai Pudong Development Bank Hong Kong Branch

Private Banking Service Charges

Ver 5.0 Effective Date : 30 Dec 2024

| Item | Fee |
|---|--|
| HK Securities trading-related Service Fees | % of transaction amount of each instruction |
| Brokerage Commission for HK Market | 0.25% Min. HK\$100 |
| Stamp Duty | 0.1% (round up to dollars) |
| Transaction Levy (charged by SFC) | 0.0027% |
| Trading Fee (charged by HKEX) | 0.005% |
| CCASS Fee | 0.002% (Min. HK\$2.00, Max. HK\$100.00) |
| Scrip Handling and Settlement-Related Services | |
| Physical Script Deposit Fee | Transfer deed stamp duty, HK\$5.00 per transfer deed |
| Physical Script Withdrawal Fee | HK\$5.00 per board lot (Min. HK \$60.00) |
| Receipt (SI) | Waived |
| Withdrawal (SI) | CCASS Fee 0.002% of transaction value (Min. HK\$2.00, Max. HK\$100.00) Handling Fee, HK\$70.00 per stock |
| Receipt through Investor Participant (ISI) | Waived |
| Withdrawal through Investor Participant (ISI) | HK\$1.00 per instruction |
| Nominee Service & Corporate Action Charges | |
| Collection of cash and scrip dividend | Service Charges, 0.12% of dividend amount (Max. HK \$10,000 per stock) CCASS Scrip Fees, HK \$1.50 per board lot Handling fees, 0.5% of dividend amount (Min. HK\$20.00, Max. HK \$200.00) |
| Collection of bonus issue | Handling Fees, HK \$20.00 per stock CCASS scrip fees, HK \$1.50 per board lot |
| Corporate Action | Service Charges, HK \$0.80 per board lot (Max. HK \$10,000.00) Handling Fees, HK \$100.00 per transaction |
| Cash Offer | Handling Fees, HK \$100.00 per transaction |
| Collection Fee of dividend claim | CCASS Charges, HK \$200.00 per uncollected claim Handling Fees, HK \$200.00 per uncollected claim |
| Registration Fees | Withdrawal scrip fee, HK \$2.50 per board lot/ scrip |

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| | Stamp Duty, HK \$5.00 per transfer deed Handling Fees, HK \$100.00 per transaction |
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| Shanghai-Hong Kong Stock Connect | % of transaction amount of each instruction |
|---|---|
| Brokerage Commission | 0.25% of transaction amount (Min. RMB160) |
| Handling Fee | 0.00487% of the consideration of a transaction |
| Securities Management Fee | 0.002% of the consideration of a transaction |
| Transfer Fee | 0.002% of the consideration of each transaction per side payable under ChinaClear's Shanghai-Hong Kong Stock Connect Rules; and 0.002% of the consideration of each transaction per side payable under the General Rules of CCASS for the provision of Stock Connect Northbound Clearing services by HKSCC |
| Stamp Duty | 0.1% of the consideration of a transaction on the seller |
| Settlement-Related Services | |
| Receipt (SI) | Waived |
| Withdrawal (SI) | 0.002% (Min. RMB 2, Max. RMB 100) Handling Fee, RMB70 per stock |

| Shenzhen - Hong Kong Stock Connect | % of transaction amount of each instruction |
|---|---|
| Brokerage Commission | 0.25% of transaction amount (Min. RMB160) |
| Handling Fee | 0.00487% of the consideration of a transaction |
| Securities Management Fee | 0.002% of the consideration of a transaction |
| Transfer Fee | 0.002% of the consideration of each transaction per side payable under ChinaClear's Shenzhen-Hong Kong Stock Connect Rules; and 0.002% of the consideration of each transaction per side payable under the General Rules of CCASS for the provision of Stock Connect Northbound Clearing services by HKSCC |
| Stamp Duty | 0.1% of the consideration of a transaction on the seller |
| Settlement-Related Services | |
| Receipt (SI) | Waived |
| Withdrawal (SI) | 0.002% (Min. RMB 2, Max. RMB 100) Handling Fee, RMB70 per stock |

| US Securities trading-related Service Fees | % of transaction amount of each instruction |
|---|--|
| Brokerage Commission | 0.5%, Min. USD30 |
| Clearing Fee (For Sale Trade Only) | 0.00218% , Min. USD0.01 |

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| FINRA Trading Activity Fee (For Sale Trade Only) | 0.0119% per share, Min. USD0.01, Max. USD5.95 |
| Envelope Settlement Service (ESS) Fee | USD100 per stock per trade side per trade date (Applicable to securities in http://www.viewtrade.com/ess) |
| American Depository Receipts (ADRs) Custody Fee# | USD0.01 – USD0.05 per share (per book close) # American Depository Receipts (ADRs) Custody Fee are Pass-Thru Charges that charged by ADR custody agents and passed through to ADR holders. These fees are not collected during trading, but will be deducted by ADR custody agents at a scheduled time, no matter if the account is still holding such stocks. |
| Other Charges | USD0.0002 per executed share * *Applicable to OTCBB, PinkSheet & BATS of US trading markets with the transaction quantity more than 100,000 share of US Penny Stocks(Penny Stock means those stocks with Stock Price below USD 1). |
| Nominee Service & Corporate Action Charges | |
| Physical Scrip Deposit Fee | No physical scrip deposit / withdrawal services provided. |
| Physical Scrip Withdrawal Fee | |
| Settlement instruction fee (Delivery) | USD100 per stock Envelope Settlement Service (ESS) Fee : USD100 per stock (Applicable to securities in http://www.viewtrade.com/ess) |
| Settlement instruction fee (Receipt) | Waived Envelope Settlement Service (ESS) Fee : USD100 per stock (Applicable to securities in http://www.viewtrade.com/ess) |
| Corporate Action (Handling Charge) | USD10 per case |
| Cash Offer(Handling Charge) | USD10 per case |
| Dividend Tax | 30% of the dividend amount |
| Collection of Dividend (Handling Charge) | 1.25% of dividend amount, Min. USD5 |
| Collection fee of Bonus Share (Handling Charge) | USD10 per case |

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| Other Account Service | |
| Custody Fee | 0.025% of a quarter end market value of the portfolio (The charge will be collected quarterly) |
| Handling Charge for Unclaimed | HK\$300 per claim per stock, plus other charges incurred |

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| Entitlement | |
| Account Statement Duplicate | HK\$50 per statement |
| Initial Public Offering (IPO) Application | |
| Handling Fee | HK\$100/RMB100* per application |
| Brokerage Fees | 1% of the Application Money |
| SFC Transaction Levy | 0.0027% of the Application Money |
| Trading fee (charged by HKEX) | 0.005% of the Application Money |
| | *Service charges are subject to denominated currency |
| Investment Funds Services | |
| Subscription, switching, redemption, annual management fee and other charges | Up to 2% of gross consideration of each transaction |
| Transfer – in | Waived |
| Transfer – out | 0.1% of market value of each fund with a minimum of HK\$200(or equivalent) |
| Bond Services | |
| Buy/Sell Transaction | Up to 2% of investment amount of each transaction |
| Transfer – in | Waived |
| Transfer – out | 0.1% of market value of each bond with a minimum of HK\$200(or equivalent) |
| Structured Notes Services | |
| Transaction Fee | Up to 1 year tenor – up to 2% of transaction amount Over 1 year tenor – up to 2% of transaction amount |
| Transfer – in | Waived |
| Transfer – out | Subject to the bank’s discretion and penalty |
| Early Redemption Fee | HK\$200 per instruction |
| Time Deposit | |
| Early uplifting | If we exercise our discretion to allow uplift of time deposit before maturity, no interest will be payable and the customer shall pay charges calculated based on the following formulae (whichever is higher) subject to a minimum charge of HK\$200: 1. Time Deposit Principal x (Prime Rate*+1% - Time Deposit Interest Rate) x No. of Days remaining to Maturity/Total No. of Days in a Year 2. Time Deposit Principal x (Inter-Bank Offer Rate*+1% - Time Deposit Interest Rate) x No. of Days remaining to Maturity/Total No. of Days in a Year *Subject to the rate as quoted by our bank from time to time. |
| Private Banking Account Service Fee | |
| General Customers | |

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| AUM (Monthly average) below HKD7,800,000 | HK\$8,000 per year (waived for 1 st year) |
| AUM (Monthly average) HKD7,800,000 or above | Waived |
| Capital Investment Entrant Scheme | |
| Annual Account Maintenance Fee | HK\$10,000 / Year |

** In the event of any discrepancy or inconsistency between the Chinese and English versions of this Service Charge Table, the Chinese version shall prevail.*